



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE <u>PATENT OPERATION</u>

Docket No.: 4713-24 Date: July 21, 1997

Hon. Commissioner of Patents and Trademarks BOX PATENT APPLICATION Washington, DC 20231

TRANSMITTAL LETTER

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service by "Express Mail Post Office" to Addressee (mail Label#Ehu491360 44 US in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington,

D.C. 20231, on

July 21, 1997

Deomattie Kumar

Signature

July 21, 1997

Date of Signature

Sir:

Transmitted herewith for filing is the patent application of:

Applicants: ALA

ALAN J. ROSS

For: SYSTEM AND METHOD FOR ASSURING PREDICTABLE GAINS

Enclosed are:

- [X] Specification 19 pages; Claims -3 pages; Abstract
- [X] () __ formal 4_ informal Drawing(s)
- [X] Declaration and Power of Attorney
- [X] Assignment to MUTUAL LIFE INSURANCE COMPANY OF NEW YORK with Assignment transmittal letter
- [] Small Entity Status Declaration
- [] Preliminary Amendment

- [] Information Disclosure Statement
- [X] The filing fee is calculated below:

CLAIMS AS FILED						
For	Number Filed		Number Extra		Rate	Basic Fee \$770.00
Total Claims	14 - 20	=	0	х	\$22.00	= -0-
Independent Claims	2 - 3	===	0	х	\$80.00	= -0-
Prese in		х	\$260.00	= -0-		
	Total Filing Fee:					\$770.00

- [X] A check in the amount of \$770.00 to cover the filing fee is enclosed.
- [] Certified Copy of priority document, claimed herein.
- [] Applicant hereby claims priority under the International Convention and under 35 U.S.C. §119 based upon Patent Application No. filed in on

[X] The Commissioner is hereby authorized to charge any additional fees which may required in connection With the filing of this application, or credit any overpayment, to Deposit Account No. 18-1843. A duplicate copy of this document is enclosed.

Respectfully submitted,

Robert D. Schaffer

Registration No. 33,775

ROGERS & WELLS

200 Park Avenue

New York, New York 10166-0153

Telephone: (212) 878-3154

Enclosures